



Form PTO-1449

**LIST OF PATENTS AND PUBLICATIONS FOR  
APPLICANT'S INFORMATION DISCLOSURE  
STATEMENT**

**(Use several sheets if necessary)**

**ATTORNEY DOCKET NO.**  
**10031219-01**

**SERIAL NO.**  
**10/823191**

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**APPLICANT**

William R Trutna Jr. et al.

**FILING DATE**

April 13, 2004

**GROUP  
2874**

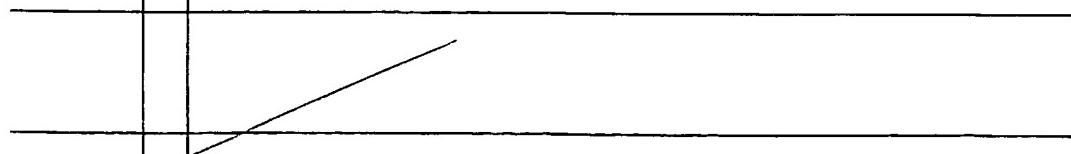
## **REFERENCE DESIGNATION**

## **U.S. PATENT DOCUMENTS**

## **FOREIGN PATENT DOCUMENT**

|   | DOCUMENT NUMBER | DATE       | NAME   | TRANSLATION YES NO |
|---|-----------------|------------|--------|--------------------|
|  | 0 524 382 A2    | 04/30/1992 | Europe |                    |
|   |                 |            |        |                    |
|   |                 |            |        |                    |
|   |                 |            |        |                    |

**OTHER REFERENCES (including Author, Title, Date, Pertinent Pages, etc.)**

|  |   |
|--|---|
|   | Kurogi, M. et al., "Continuous Tuning of an Electrically Tunable External-Cavity Semiconductor Laser", Optics Letters, Optical Society of America, Vol. 25, No. 16, August 15, 2000, pp. 1165-1167. |
|  |   |

\* Copies of these references are not enclosed Pursuant to 37 CFR 1.98(d). (See accompanying IDS)

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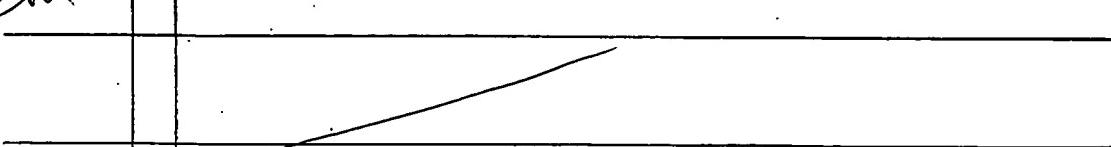
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| <b>LIST OF PATENTS AND PUBLICATIONS FOR<br/>APPLICANT'S INFORMATION DISCLOSURE<br/>STATEMENT</b> | APPLICANT<br>William R Trutna Jr. et al. |                         |
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|   |   |
|---|---|
|  | <p>Kurogi, M. et al., "Continuous Tuning of an Electrically Tunable External-Cavity Semiconductor Laser" Optics Letters, Vol. 25, No. 16, August 15, 2000, pp. 1165-1167.</p> |
|   |   |
| <b>EXAMINER</b><br><i>[Signature]</i>   | <b>DATE CONSIDERED</b><br><i>1/16/06</i>  |

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**PATENT APPLICATION**

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| <b>FORM PTO-1449</b><br><br><b>LIST OF PATENTS AND PUBLICATIONS FOR<br/>APPLICANT'S INFORMATION DISCLOSURE<br/>STATEMENT</b><br><br>(Use several sheets if necessary) | <b>ATTY. DOCKET NO.</b><br><b>10031219-1</b>             | <b>SERIAL NO.</b> |
|   | <b>APPLICANT</b><br><b>William R. Trutna, Jr. et al.</b> |                   |
|   | <b>FILING DATE</b><br><b>Herewith</b>                    | <b>GROUP</b>      |

## **REFERENCE DESIGNATION**

## **U.S. PATENT DOCUMENTS**

| EXAMINER<br>INITIAL | * | DOCUMENT NUMBER | DATE          | NAME              |
|---------------------|---|-----------------|---------------|-------------------|
|                     |   | 6,608,949       | Aug. 19, 2003 | Kim et al.        |
|                     |   | 6,556,599       | Apr. 29, 2003 | Svilans           |
|                     |   | 6,526,071       | Feb. 25, 2003 | Zorabedian et al. |
|                     |   | 6,493,129       | Dec. 10, 2002 | Terahara et al.   |
|                     |   | 6,345,059       | Feb. 5, 2002  | Flanders          |
|                     |   | 6,339,603       | Jan. 15, 2002 | Fanders et al.    |
|                     |   | 6,282,215       | Aug. 28, 2001 | Zorabedian et al. |
|                     |   | 6,141,360       | Oct. 31, 2000 | Kinugawa et al.   |
|                     |   | 6,031,852       | Feb. 29, 2000 | Thompson et al.   |
| /                   |   | 5,923,685       | July 13, 1999 | Akagawa et al.    |

## FOREIGN PATENT DOCUMENTS

**OTHER REFERENCES (including Author, Title, Date, Pertinent Pages, etc.)**

A graph on a Cartesian coordinate system. The horizontal axis is labeled 'x' and the vertical axis is labeled 'y'. A grid is shown with major lines every 1 unit. Two lines are plotted: one passing through (0, 2) and (2, 0), and another passing through (0, -1) and (1, 0). The lines intersect at the point (1, 1).

**EXAMINER**

**DATE CONSIDERED**

619106

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## **PATENT APPLICATION**

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|   |  |                   |
|---|--|-------------------|
| FORM PTO-1449<br><b>LIST OF PATENTS AND PUBLICATIONS FOR<br/>APPLICANT'S INFORMATION DISCLOSURE<br/>STATEMENT</b><br><i>(Use several sheets if necessary)</i> | <b>ATTY. DOCKET NO.</b><br><br><b>10031219-1</b>             | <b>SERIAL NO.</b> |
|   | <b>APPLICANT</b><br><br><b>William R. Trutna, Jr. et al.</b> |                   |
|   | <b>FILING DATE</b><br><br><b>Herewith</b>                    | <b>GROUP</b>      |

**REFERENCE DESIGNATION**      **U.S. PATENT DOCUMENTS**

## **FOREIGN PATENT DOCUMENTS**

**OTHER REFERENCES (including Author, Title, Date, Pertinent Pages, etc.)**

A graph illustrating a function's behavior relative to its asymptotes. Two horizontal lines represent asymptotes: one at  $y = -1$  and another at  $y = 1$ . A vertical line at  $x = -1$  and another at  $x = 1$  intersect these asymptotes. A curve is shown starting from the left, passing through the point  $(-1, 0)$ , and approaching the upper asymptote  $y = 1$  as  $x \rightarrow \infty$ .

**EXAMINER**

**DATE CONSIDERED**

6/5/06

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